Refactoring for Energy Efficiency: A Reflection on the State of the Art

(Keynote)

Gustavo Pinto, Francisco Soares-Neto, Fernando Castor
Federal University of Pernambuco
Informatics Center
{ghlp, fmssn, castor}@cin.ufpe.br

Abstract—Recent refactoring research introduced several innovations addressing diverse goals, such as code extensibility, reusability, and testability. However, energy consumption, a critical property of any software system, remains unaddressed by refactoring research. In this paper, we provide an accounting of some of the recent and successful state-of-the-art research on software energy consumption. Through an investigation on premier software engineering venues, we identify and discuss 12 contributions that can be further instantiated in refactoring tools used to improve software energy efficiency — and the challenges behind this process. These opportunities span a wide range of software characteristics, such as mobile applications and concurrent programming. Mobile applications is the topic with the greatest number of opportunities (6 out of 11). The study serves as a call to action for refactoring researchers interested in software energy consumption issues.

I. INTRODUCTION

Refactorings [10] are source to source transformations that change the structure of a program but not its behavior. Refactoring has several benefits, such as reduce code clones, program size, reinforce coding patterns, and improve modularity [20]. Such benefits can improve developer productivity by making software systems easier to maintain and understand. Agile advocates go further and claim that a lack of refactoring incurs technical debt [4].

Refactoring benefits are likely to go beyond understandability, covering different requirements such as extensibility, reusability, and testability. Also, recent research has succeed in applying refactoring to improve quality attributes such as performance [55] and correctness [9]. Nonetheless, one grand challenge that has so far received much less attention is energy consumption. Despite the benefits of refactoring, to the best of our knowledge, there is a lack of refactoring approaches focusing on improving the energy efficiency of a software system. This is unfortunate for at least three reasons: (1) due sustainability reasons, energy is increasingly a first-order concern in any computing systems; (2) with the widespread use of mobile platforms, there is considerable evidence that battery usage is a key factor for evaluating and adopting mobile applications [52]; and (3) applications consuming less energy can incur in less money spent on cooling costs. A robust refactoring tool to improve software energy efficiency could thus be highly beneficial for energy-aware programmers, with immediate practical impact.

A first decision that needs to be made when deriving a refactoring is to determine the appropriate level of abstraction for applying it. In this study we focus on the application level. This decision is based on the fact that while the strategy of leaving the energy consumption optimization problem to the lower-level layers has been successful, recent work showed that even better results can be achieved by empowering and encouraging software developers to participate in the process [1, 21, 27, 39]. Thus, we believe that educating and empowering software developers with usable and useful tools can play a prominent role in reducing the energy consumption of the applications they write.

In order to derive new refactorings, it is necessary to gain a deep understanding of the domain in which the refactoring will work. Notwithstanding, developing an energy-efficient software is not an easy task. One of the fundamental problems in this task is to understand where energy is being consumed and how the code can be re-organized in order to reduce the energy consumed. Energy consumption estimation tools do exist (e.g., [12, 25, 28]), but they do not solve this problem because (1) they require an in-depth knowledge of low-level implementation details and programmers under time pressure have little chance to learn how to use them; and (2) they do not provide direct guidance on energy optimization, i.e., bridging the gap between understanding where energy is consumed and understanding how the code can be modified in order to reduce energy consumption. With no other option, programmers need to search for energy saving best practices on software development forums and blogs. Unfortunately, many of these guidelines are anecdotal, not supported by empirical evidences, or even incorrect [40]. This brings us to our main research question:

RQ. What are the opportunities, and their inherent challenges, to derive new refactorings focusing on improving the energy efficiency of a software system?

This paper is aimed at providing answers to this timely but overlooked question. We mitigate this problem by investigating the state of the art of software energy consumption research and pointing out possible refactoring opportunities — and the challenges behind them. For this investigation, we have reviewed related literature on software energy consumption research published at top software engineering conferences.
such as ICSE and ESEC/FSE. In this mining process, we initially found a total of 20 research papers. However, after applying some filters, e.g., if the paper presents an empirical study, we selected 14 of them (see Section II for details).

The main findings of this study are the following:

- We observe that software energy consumption is an emerging topic; the first research paper found is from 2012, and the number of accepted papers is increasing over the years.
- We identify 11 opportunities to refactor for energy efficiency. These opportunities span a wide range of software characteristics, such as mobile applications and concurrent programming. Mobile applications is the topic with the greatest number of opportunities (6 out of 11).
- We identify at least one challenge for each one of the opportunities. We also found related research that succeed in overcoming similar challenges.

II. METHODOLOGY

In this section we describe our empirical study. Since we are interested in refactoring opportunities that can be used on the application level, we decided to use research papers published on top software engineering conferences as our dataset. We restrict our attention to eight of the most prominent software engineering publication venues:

- ASE: International Conference on Automated Software Engineering
- ESEC/FSE: International Symposium on the Foundations of Software Engineering
- ICSE: International Conference on Software Engineering
- ICSME: International Conference on Software Maintenance and Evolution
- OOPSLA: Object-Oriented Programming Systems, Languages, and Applications
- ECOOP: European Conference on Object-Oriented Programming
- ISSTA: International Symposium on Software Testing and Analysis
- CSMR: European Conference on Software Maintenance and Reengineering

We chose such conferences because they are highly competitive software engineering conferences [50]. Thus, studies published there tend to be mature and backed by solid evidences. Since this is still ongoing work, we left some important events such as MSR, ICST and ESEM for future research.

The data we have analysed was restricted to the main research track of each conference. For all considered conferences, we manually searched in their proceedings for “energy” and “power” keywords in the title and in the abstracts of the accepted papers. Since energy consumption of high-level applications is a new and emerging topic of research, our extracted data covers a period of 10 years (i.e., 2005 — 2014). After this extraction phase, a total of 20 papers were found. Table I describes the total of papers found per conference.

From this initial set, no paper is older than 2012; this shows the emerging character of the field. Among the selected papers, 3 were published in 2012 (ASE: [34], CSMR [17], OOPSLA: [8]), 6 in 2013 (CSMR: [45], ICSE: [12], [3], OOPSLA: [19], ICSME: [21], ISSTA: [25]), and 11 in 2014 (ICSE: [30], [33], [27], ESEC/FSE: [2], OOPSLA: [31], [18], [41], [32], ICSME: [24, 43], ISSTA: [26]).

We thoroughly read and categorized each of the selected papers in terms of their main technique or approach used to improve energy consumption. We discard papers that focus only on energy consumption tooling and do not provide a comprehensive case study of application energy characteristics. Without such useful information, it is not possible to understand where energy is expended in an application, and thus derive new refactorings. Thus, we discarded [25]. Also, we discard runtime systems which performs energy optimizations behind the scenes, without changing the source code. However, we kept studies that used runtime systems, and modified the application source code. Studies that do not modify the source code do not give us any insight for source to source transformations. Thus, we removed [30], [19]. Still, we do not consider papers that do not provide empirical evidence that the proposed approach is effectively saving energy. Thus, we discarded [17]. Finally, we discard papers that has little to do with refactoring. Thus, we discard [32], [26]. After this filtering process, 14 papers were selected.

III. RESULTS

In this section we present the results for our empirical study. For each category found, we provide a brief description of the problem, the refactoring opportunity, and the challenges behind it.

A. Mobile applications

Mobile devices, especially smartphones and tablets, derive the energy required for their operation from batteries, which are limited in size and therefore capacity. This implies that managing energy consumption well is of great importance. This fact encourages mobile programmers to employ energy-aware best practices. Furthermore, as Carroll and colleagues [7] have pointed out, graphics, GSM and CPU core are some of the most energy-consuming components on a smartphone. We now present some refactoring opportunities focusing on these components.

User Interfaces: Li et al. [27] have showed an average of 40% reduction on display’s power consumption. This happens
because, according to the authors, “in OLED displays, darker colors, such as black, require less energy to display than lighter ones, such as white”. The authors then create an automated rewriting technique for changing lighter colors to darker ones in page candidates. However, an automatic approach does not deal with the problem of not achieving the desirable interface. Refactoring can mitigate this problem by providing step-by-step user interface transformations. Refactoring can succeed at different levels here. For instance, web designers should use refactoring techniques. Since most of the coding style activity is done in cascading style sheets (CSS) files, refactoring engines should be integrated with well-known CSS editing tools. Also, not only for web applications, this technique can also be used in native mobile applications. When developing a mobile user interface, a programmer should have the option to refactor to a more energy-efficient color. Thus, the refactoring engine should map each possible color to its energy-efficient counterpart.

Challenges: There are several significant challenges to creating such refactorings. One of them is to properly identify the colors used in a web application. Most modern web applications combine dynamically generated pages and cascading style sheets. The refactoring tool should analyze different, and scattered, dependency files to figure out where colors are defined. On mobile applications, on the other hand, taking an example of Android applications, the interfaces editing files are xml-based. Complex and dynamic interfaces should require several file hierarchies, and the interaction between them is ruled by Java code. Refactoring engines should be smart enough to follow all these dependencies.

CPU Offloading: Kwon et al. [21] have described a technique to offload CPU intensive computations from a mobile device to the cloud, thus reducing battery usage. However, not all possible CPU intensive computations can be offloaded, since offloading is not free. It does pay a toll on energy consumption, mainly due GSM and Wi-Fi power consumption for transmitting data over the network. The trade-off of using this technique relies on the execution time of the computation; if it is small, the energy cost of network communications outweighs the savings afforded by offloading. However, when carefully applied, this technique can reduce the overall energy consumption of a mobile application by up to 50%. However, to take advantage of the benefits of the cloud, developers face a high entry barrier. They need expertise on many topics: communication protocols, data storage, databases, and cloud infrastructure. Moreover, the manual set up of the cloud environment is tedious, error-prone and omission-prone. A refactoring engine can greatly lower the entry barrier by setting up the environment to allow beginner developers to partition their mobile applications, so that the energy intensive functionality can be executed in the cloud.

Challenges: The refactoring engine has two main challenges here. The first one is to determine whether the computation is worth refactoring, that is, if offloading will not turn out to be more expensive than performing the computation locally. Refactoring engines can take advantage of energy consumption estimation tools to help programmers to decide when to refactor. Second, if a programmer agrees with the refactoring, the refactoring engine needs to set up the environment to receive the computation in the cloud. While starting a virtual machine with default settings can be seem as trivial, set up a particular configuration to work with a particular piece of refactored code would require sophisticated source code analysis [54]. However, recent efforts have showed that such challenges can be overcome [13].

HTTP Requests: Li et al. [24] presented the first large scale study on the energy efficiency of mobile applications. Among the findings, they describe two remarkable ones: (1) a small number of APIs used in applications dominate non-idle energy consumption, and (2) HTTP request is the most energy consuming operation of the network. Likewise, Noureddine et al. [34] also observed that the highest power consumption methods on the Jetty Web Server came from classes that manage HTTP requests. Work by Hao et al. [12], examined the energy hotspots of mobile applications and, for most of the target systems, HTTP usage consumed the most energy. We believe that refactoring engines can play a role here. For instance, a refactoring engine should be able to identify such energy consuming APIs, and replace them by energy-friendly ones.

Challenges: Although some energy-intensive APIs have an energy-friendly counterpart (e.g., the power efficient work queue [1]), which is a power-oriented implementation of a queue), this is no the case for a number of them. Refactoring tools should keep track of the cutting edge research on energy-efficient APIs. For those APIs which do not have an energy-efficient implementation, refactoring tools should favor “light-weight implementations”. For instance, webservices can be implemented using at least two common approaches: SOAP and REST, which greatly differ in their internal characteristics. While REST is more flexible and light-weight, SOAP is more detailed and heavy-weight. In the absence of an energy-efficient implementation, refactoring tools should support the transition to more light-weight components.

Software Piracy: Piracy is an issue that greatly impacts app revenue. The most commonly used approach for preventing piracy is code obfuscation, that is, making the code of an application more difficult to understand. However, according to Sahin et al. [43], obfuscations techniques used on mobile applications are likely to impact their energy usage. The authors report an average energy increase of 2.1%. Refactoring tools can take advantage of this fact and implement more energy-efficient code obfuscation techniques.

Challenges: Writing a novel energy-efficient obfuscation technique is not an easy task. Taking in consideration the “spaghetti logic” example, described in the aforementioned study, it inserts branching and conditional instructions in the body of the methods. While additional instructions are likely to increase the absolute size of the program, and thus energy usage, they can also introduce logic bugs into the code. Using

[Link](http://lwn.net/Articles/548281/)
two well-known compiler optimizations, peephole and inline optimizations, we believe that refactoring engines improve the energy efficiency of the generated code. However, this requires empirical evidence.

I/O Operations: In Banerjee et al. [2], similarly to Li et al. [24], the authors argued that I/O utilities contribute significantly to the energy consumption of a mobile application. Among the findings, the authors observed a particular application whose GPS module continues to run for a few seconds even after the application exits. Behind the scenes, a third-party advertisement module was responsible for keeping the GPS alive. Such advertisement module was running on the main thread, and any delay in loading the advertisement from the network stalls the main thread. Refactoring tools can be useful here by putting features that are additional to the requirements of users (e.g. advertisements), in separate daemon asynchronous threads. Then, when the main application exits, all the other related threads would also exist, avoiding energy waste.

Challenges: In order to improve reuse, such additional features are usually released as binary code on external libraries, which prevents programmers from understanding the inefficiencies behind them. Thus, the application becomes a black-box, and when one energy-inefficient component is invoked, developers without appropriated tools can no longer realize that. If the documentation does not clearly provide an useful description of the used library, it will become difficult for a programmer to identify such energy-intensive computations. The refactoring tool, then, should have the ability to detect such energy intensive functions, in particular when the source code is not available. However, this would require an in-depth investigation of all used external libraries, which in turn can be time consuming for the refactoring engine be practical.

Continuously Running App: Modern mobile applications are continuously-running, periodically sending and receiving data from servers. Such cumulatively behavior can greatly impact battery usage. Nikzad et al. [33] presents a technique that delays the execution of continuously-running power-hungry code fragments. To do so, developers must annotate the places in the source code in which the execution should be delayed. At the appropriate will then run these operations in bulk, reducing the cost of sending one at a time without sacrificing application integrity. The authors reported an energy savings of 63% when compared to the case when there is no coordination. Refactoring tools can ease the communication between programmers and the runtime system, as well as reducing the burden of writing such declarative annotation language.

Challenges: Due to the use of an annotation language based on non-structured text files, the refactoring tool should be able to work with them. Also, the refactoring tool should be integrated with the other existing refactorings. For instance, when a programmer is renaming a class, and if some of the methods of this class are already flagged to be used by the runtime system, the refactoring tool should be notified in order to update the configuration file to use the new fully qualified name.

B. Concurrent/Parallel programming

To better leverage multicore technology, applications must be concurrent, which poses a challenge, since it is well-known that concurrent programming is hard [46]. We found some papers studying the relationship between concurrent programming, performance and energy consumption [18], [41], [42]. Even though no consensus has emerged from it, and despite the highly complex landscape, the authors identified some recurring patterns.

Excessive Copy Chains: The ForkJoin framework [22], available since version 1.7 of the Java programming language, stands as a natural solution for parallel, fine-grained, divide-and-conquer algorithms, in particular, when recursive tasks are completely independent. However, Pinto et al. [41] observed that a great amount of energy can be wasted if the data passed through the recursive steps is copied instead of shared. The authors observed an energy saving of 15.38% when the data is shared instead of copied.

Challenges: One of the main challenges here is identify this copy pattern. Even though the programmer can make a copy using the `System.arraycopy()` method explicitly inside the parallel computation method, this is not the only possible scenario. Several utility classes in the `java.util` library make use of this method internally. One example is the `Arrays.copyOfRange()` method. It can also be found in several collections method. Moreover, for modularity reasons, the programmer can use the `Arrays.copyOfRange()` method in another class/method, or it could be wrapped in a third-party library. The identification of such scenarios requires a sophisticated static analysis tool.

Embrace Parallelism: Kambadur and Kim [18] showed that parallel solutions to some problems can save a great amount of energy, up to 80% in an extreme case, when compared to the sequential versions of the same solution. Pinto et al. [41] also observed that a parallel solutions to some problems are more energy-friendly than a sequential one. A refactoring engine can help programmers to identify and refactor such opportunity.

Challenges: First, not all kind of problems can be fully-parallelizable. Also, due to the natural shared-memory programming model present in high-level programming languages such as Java and C++, parallelism usually implies in coordinated access to shared locations, which in turn implies in synchronization. An automatic parallelization tool should analyze the places where synchronization is needed and apply synchronization techniques with extreme care, since synchronization can slow down the performance gained through parallelism. Also, the energy consumption of a multithreaded program is not easy to reason about. For instance, if a multi-threaded program receives a 2x speed-up but, at the same time yields a fivefold increase in power consumption (as compared with a single core execution), energy consumption – the product of power consumption and execution time – and thus energy efficiency – the amount of work that can be achieved by consuming a certain amount of energy – degrades as the user embraces multi-core CPUs.
**GPU Programming:** The use of Graphics Processing Units (GPUs) for rendering is well-known, but their power for general purpose parallel computation has only recently been explored. Scanniello et al. [45] have defined a strategy for transferring a CPU-intensive system to a Graphics Processing Unit (GPU) based architecture. Using this approach, the authors observed an improvement on energy consumption of over 60%. This refactoring is important because modern mainstream CPUs have only a few dozens of available cores. Conversely, GPUs offer the execution of a large number of threads, making it possible to perform more work in parallel.

**Challenges:** Again, the refactoring engine should detect the components that perform computationally intensive tasks. This component should be wrapped and integrated in a GPU system. Since GPU and CPU programming do not use the same programming languages, the refactoring engine should be able to refactor the input system to a new language, which is not straightforward. Also, only a few programming languages have solid support for GPU programming, which reduces the options for the refactoring tool.

**C. Approximate programming**

Many software applications offer the opportunity to tolerate occasional “soft errors”, that is, errors that reduce the quality of service/solution. Such errors are welcome in certain applications, if they provide improvements on other system characteristics, such as an improvement in performance or a reduction in energy consumption. Many of these applications have one or more approximate computational kernels that consume the majority of the execution time. For instance, in a ray tracing implementation, the renderer method is likely to be the most time-consuming one. Misailovic and colleagues [31] have introduced a framework for approximate programming namely Chisel. A valid Chisel program is a program written in a high-level language such as C, and the instructions and variables stored in unreliable memories are written using Rely [6]. Chiesel then optimizes the problem of selecting approximate instructions and variables allocated in approximate memories. Results have showed energy savings ranging from 8.7% to 19.8% in selected applications when compared to the original ones.

**Challenges:** This approach only works on emerging approximate hardware platforms. The refactoring tool should first verify if the current hardware has this support available. Also, the refactoring tool should provide means for developers to understand the degree of error produced.

**D. DVFS techniques**

Dynamic Voltage and Frequency Scaling (DVFS) [38] is a common CPU feature where the operational frequency and the supply voltage of the CPU can be dynamically adjusted. It is one of the most effective power management strategies used in computer architecture research [23], [49]. In our selection of studies, two of them [8], [3] focus on using DVFS in a static way: providing informations to the runtime systems so that they can decide whether or not to scale the CPU frequency.

**Energy Types:** In the first study, Cohen et al. [8] have introduced a new type system to help reason about energy management. In this type system, the programmer is encouraged to think how CPU-intensive each program statement is. Take for example a system that performs a long-running math calculation, but also performs some HTTP operations in the meantime. Using this new type system, a programmer can declare a partial order phases { http <cpu math; }, meaning “http is less CPU-intensive than math”. This definition encourages programmers to contribute in their knowledge, so that DVFS calls are inserted automatically, and the decision of scaling down/up is conducted by the compiler based on the partial order. The authors reported an energy saving of 30%-50% in selected benchmarks. Refactoring tools can help programmers to update their code to use this type system.

**Challenges:** The introduced type system has two important language constructs: phases and modes. Such language constructs can be introduced at the class level, method level and variable level. Refactoring tools should take care of which one should be used, and when, which would require sophisticated source code analysis.

**Stream Programming:** In their study, Bartenstein et al. [3] propose a technique for reducing the energy consumption of a stream program. Stream programming is a general-purpose paradigm where software is composed as a stream graph, which is parallelism-friendly. The proposed approach is based on a key insight about stream programming: a stream graph can operate more efficiently if the rates of streams are coordinated, so that, one filter may output a data item to a stream “just-in-time” for consumption by the next filter on the receiving end of the stream. Using this approach, the authors reported an average CPU energy saving of 28%.

**Challenges:** Existing refactoring tools cannot be reused to support this new refactoring, since most of the existing refactoring implementations encompass only control-flow-centric programming models (such as Java and C) while the stream programming is graph-centric model, implemented by the StreamIt programming language. Transformation from a control-flow-centric programming model to streams would require the refactoring to perform a great set of transformations, which can in turn harm its applicability, due its time-consuming nature.

**IV. RELATED WORK**

In this section we describe the studies overlapping with the scope of our work.

The most established energy management approaches are focused on the hardware level (e.g., [16]) and the OS level (e.g., [53]). Tiwari et al. [47] correlated energy consumption with CPU instructions. Vijaykrishnan et al. [51] performed an early study on the energy consumption of the JVM. Within the programming language community, it is an active area of research to design energy-aware programming languages, with examples such as EnerJ [44], Energy Types [8], and LAB [19]. Existing research that dealt with the trade-off of comparing...
individual characteristics of an application and energy consumption has covered a wide spectrum of applications. These characteristics vary from data structures [30], VM services [5], cloud offloading [21], and code obfuscation [43].

The mobile arena is also an important topic of research. Hindle [14] investigated the relationship between software changes and power consumption on Mozilla Firefox. The author observed that intentional performance optimization introduced a steady reduction in power consumption. More recently, Hindle et al. [15] proposed an energy consumption framework to be used in mobile devices. The authors suggest that this framework is more accurate than real meters in measuring energy consumption of smartphones because it does not take the battery usage in consideration. Pathak et al. [37] presented an in-depth investigation on the root causes for energy consumption problems in mobile applications. Like the study of Pinto et al. [40], they also observed that advertisement plays an important role, consuming up to 75% of energy consumption in free apps.

The energy consumption of concurrent programs is gaining attention over the years. Park et al. [36] developed several synchronization-aware runtime techniques to balance the trade-off between energy and performance. Gautham et al. [11] studied the relative energy efficiency of synchronization implementation techniques (such as spin locks and transactions). A recent short paper [29] called for energy management based on different synchronization patterns. Trefethen and Thiyagalingam [49] surveyed energy-aware software, including multi-threaded programs with different workload settings. Bartenstein and Liu [3] designed a data-centric approach to improve energy efficiency for multi-threaded stream programs. Ribic and Liu [42] designed an algorithm to improve the energy efficiency of the work-stealing runtime of Intel Cilk Plus by managing the relative speed of threads.

V. Threats to Validity

First, in presenting our analysis on opportunities and challenges for refactoring for energy efficiency, we attempted to select and cite relevant papers published on premier software engineering venues. However, the conducted survey is not exhaustive; we make no pretense of having selected and cited all possible energy consumption related studies. We leave this task to surveys and systematic studies. Instead, the presented work highlights refactoring opportunities to improve existing systems. Also, by focusing only on premiere software engineering conferences, and not on workshops, we believe we can select more mature and well-established research. Second, even though we have searched in 8 software engineering conferences, this paper does not mean to make general conclusions about the entire software energy consumption community. Rather, results should only be viewed in the context of the papers in those 10 years of chosen conferences. Nevertheless, we hope that the approach we followed helped this paper better reflect the views of researchers in the software energy consumption community. Finally, another threat is related to the procedure we use for select and classify our themes for opportunities and challenges. Since we did not use a qualitative analysis in order to record themes within data, our

VI. Conclusions

Our goal in this paper was to present and discuss some of the opportunities to make refactoring green, allowing programmers to write energy-efficient software. To do that, we have analyzed recent software energy consumption literature. For each one of the selected papers, we describe how the main contribution can be reincarnated in a refactoring engine, and what are the challenges to do so. In a nutshell, Table II summarizes the main opportunities.

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<td>User Interfaces</td>
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<td>2</td>
<td>CPU Offloading</td>
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<td>3</td>
<td>HTTP Requests</td>
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<td>Stream Programming</td>
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For future work, we plan to effectively implement some of the aforementioned opportunities in a refactoring engine. In order to evaluate the effectiveness of the refactoring tool, we also plan to conduct controlled experiments with practitioners, so that we can observe if the refactoring tool is not only efficient in improving the energy consumption, but also usable.

VII. Acknowledgments

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